

1  
2  
3  
4  
5 Honorable Judge Benjamin H. Settle  
6  
7  
8

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

9 CLYDE RAY SPENCER ,  
10 Plaintiff,

11 v.  
12 JAMES M. PETERS, et al.,  
13 Defendants.

NO. C11-5424 BHS

DEFENDANTS' REPLY IN SUPPORT  
OF RENEWED MOTION FOR  
JUDGMENT AS A MATTER OF LAW  
AND IN THE ALTERNATIVE MOTION  
FOR NEW TRIAL

NOTED: **Friday, March 21, 2014**

14  
15 I. REPLY ARGUMENT

16 Pursuant to FRCP 50(b), defendants renew their motion for judgment as a matter of law  
17 on plaintiff's deliberate fabrication of evidence claim because he failed to produce evidence  
18 sufficient to enable a reasonable jury to conclude that defendants knew or should have known  
19 that he was innocent of any or all crimes for which he was charged. Plaintiff adduced no  
20 evidence defendants actually knew or should have known he was innocent.

21 Alternatively, defendants request a new trial pursuant to FRCP 59. The Court's  
22 discretion to grant a new trial is far broader than the Court's authority to grant a motion for  
23 judgment as a matter of law. *See Gasperini v. Center for Humanities, Inc.*, 518 U.S. 415, 433  
24 (1996); *Jennings v. Jones*, 587 F.3d 430, 436 (1<sup>st</sup> Cir. 2009). Unlike a FRCP 50(b) motion,  
25 when considering a FRCP 59 motion the Court is not bound to view the evidence in the light

most favorable to the verdict winner and may reweigh the evidence, accepting or rejecting evidence considered by the jury. *Kode v. Carlson*, 596 F.3d 608, 612 (9<sup>th</sup> Cir. 2010) (citing *Allied Chem. Corp. v. Daiflon, Inc.*, 449 U.S. 33, 36 (1980)). When ruling on a FRCP 59 motion, the Court has “the duty … to weigh the evidence as [the Court] saw it, and to set aside the verdict of the jury, even though supported by substantial evidence, where, in [the Court’s] conscientious opinion, the verdict is contrary to the clear weight of the evidence.” *Molski v. M.J. Cable, Inc.*, 481 F.3d 724, 729 (9<sup>th</sup> Cir. 2007) (quoting cases). A new trial also is warranted where “the trial was not fair to the party moving” or “to prevent a miscarriage of justice.” *Id.* (quoting cases). In long, complicated trials, the trial judge ought to be especially vigilant in examining the verdict. *See Lind v. Schenley Indus. Inc.*, 278 F.2d 79, 90-91 (3<sup>rd</sup> Cir. 1960); *ZF Meritor, LLC v. Eaton Corp.*, 769 F.Supp.2d 684, 690 (D. Del. 2011).

**A. Defendants are entitled to judgment as a matter of law, or a new trial, because insufficient evidence was introduced to permit a reasonable jury to conclude defendants knew or should have known plaintiff was innocent.**

Plaintiff begins his response to defendants’ motion by claiming the Court can not consider evidence refuting plaintiff’s deliberate fabrication claim that was offered in defendants’ case-in-chief after defendants filed their pre-verdict Rule 50(a) motion (Dkt. 258), which was after plaintiff had rested his case-in-chief. *See* Dkt. 290, p. 2. Although a party can not raise new arguments in its post-trial Rule 50(b) motion that were not raised in its pre-verdict Rule 50(a) motion, no authority prevents a party from pointing to additional evidence in its post-trial motion that supports an argument that was raised in its pre-verdict motion. Thus, plaintiff’s claim that evidence presented during the testimony of Matt Hansen, Jim Peters, Judge Thomas Lodge, DeAnne Spencer, and Dr. Phillip Esplin can not be considered is mistaken.

Plaintiff next argues that he presented substantial evidence for the jury to conclude

1 defendant Krause deliberately misquoted statements from her interviews of Kathryn and Matt  
 2 Spencer, and Karen Stone, and that defendant Davidson reviewed those reports. Based on this  
 3 evidence, plaintiff incorrectly claims these alleged fabrications are substantial evidence of a  
 4 different element of the cause of action - - *i.e.*, that defendants knew he was innocent.

5 The overwhelming evidence was that there was probable cause to believe plaintiff was  
 6 guilty of sexually abusing one or more of the three children.<sup>1</sup> Both defendants testified to this,  
 7 as well as Rebecca Roe, Jim Peters, and Art Curtis. Although plaintiff quibbles that witnesses  
 8 opined probable cause developed at different times (*e.g.*, Dkt. 293, p. 6), there was no evidence  
 9 adduced that probable cause was lacking before the prosecutor charged plaintiff. Plaintiff  
 10 recognized this undeniable fact when he voluntarily dismissed during trial all claims for which  
 11 he believed probable cause was a complete defense.

12 If there is probable cause for reasonable officers to believe a person committed a crime,  
 13 after putting aside allegedly fabricated evidence, a deliberate fabrication of evidence claim fails  
 14 because the plaintiff is unable to prove the pivotal element that officers knew or should have  
 15 known the plaintiff was innocent. *Devereaux v. Abbey*, 263 F.3d 1070, 1076, 1077 (9th Cir.  
 16 2001); *Hervey v. Estes*, 65 F.3d 784, 788-90 (9<sup>th</sup> Cir. 1995); *Hennick v. Bowling*, 115 F.Supp.2d  
 17 1204, 1207-09 (W.D. Wash. 2000). Put another way, a deliberate fabrication claim fails if  
 18 probable cause still exists after allegedly fabricated evidence is disregarded. *Id.* Thus, as these  
 19 cases hold, proof that evidence was deliberately fabricated does not, in itself, prove the pivotal  
 20 element that defendants knew or should have known plaintiff was innocent. Plaintiff's  
 21  
 22

---

23  
 24 <sup>1</sup> Matt Hansen testified that he was sexually abused by plaintiff. Although plaintiff attempts to suggest Matt  
 25 Hansen's testimony was impeached because Art Curtis allegedly testified Mr. Hansen told "investigators" he could  
 26 not remember being abused, no such testimony was adduced and plaintiff's citation to Mr. Hansen's testimony for  
 this claim certainly can not show that Mr. Curtis allegedly testified to that effect. See Dkt. 293, p. 7, ll. 22-24.

1 argument to the contrary (Dkt. 293, pp. 3-4) is incorrect and inconsistent with these authorities.

2       Similarly flawed is plaintiff's argument that alleged concealment of evidence is  
 3 sufficient for a jury to conclude defendants knew he was innocent. Again, this leap in logic is  
 4 unsupportable. As held in *Devereaux*, 263 F.3d at 1079, "a *Brady* violation cannot in itself  
 5 support a deliberate-fabrication-of-evidence claim." In accord, this Court held as a matter of  
 6 law that defendants have no liability for failure to disclose alleged *Brady* material, including the  
 7 medical reports and the videotaped interview of Kathryn Spencer by James Peters. *E.g.*, Dkt.  
 8 186, pp. 3-8; Dkt. 187, pp. 14-15. Thus, contrary to plaintiff's argument, alleged concealment  
 9 of evidence does not prove the pivotal element of knowledge of innocence that is necessary to  
 10 establish a deliberate fabrication of evidence claim.

12       There was no legally sufficient evidentiary basis for a reasonable jury to conclude that  
 13 defendants actually knew or should have known in 1984-85 that plaintiff was innocent of  
 14 sexually abusing one or more of the three children. Since defendants' actual or constructive  
 15 knowledge of plaintiff's innocence is an essential element of plaintiff's deliberate fabrication of  
 16 evidence claim, his sole remaining cause of action should be dismissed and judgment should be  
 17 entered in favor of defendants as a matter of law. Alternatively, the Court should conclude  
 18 pursuant to CR 59 that the verdict is contrary to the clear weight of the evidence and a new trial  
 19 is needed to prevent a miscarriage of justice.

21       **B. Alternatively, a new trial should be granted based upon errors committed  
 22 regarding admission of evidence and submission of jury instructions.**

23           **1. Evidence of previously dismissed claims should have been excluded.**

24       Defendants move for a new trial because the Court allowed plaintiff to repeatedly  
 25 adduce evidence, over defendants' motions *in limine* and objections, from nearly every witness  
 26

1 regarding use of allegedly coercive interview techniques, allegedly withheld medical reports,  
 2 Jim Peters' allegedly withheld videotape of his interview of Kathryn, and/or Davidson's alleged  
 3 jail visits of plaintiff. Plaintiff responds by arguing defendants waived their objections to this  
 4 evidence by not often repeating their objections raised *in limine*. He is incorrect. “[W]here the  
 5 substance of the objection has been thoroughly explored during the hearing on the motion *in*  
 6 *limine*, and the trial court's ruling permitting introduction of evidence was explicit and  
 7 definitive, no further action is required to preserve for appeal the admissibility of that  
 8 evidence.” *Palmerin v. City of Riverside*, 794 F.2d 1409, 1413 (9<sup>th</sup> Cir. 1986).

10 Alternatively, plaintiff argues admission of this evidence was necessary to give the jury  
 11 context for plaintiff's claims and the Court's limiting instructions cured the error. However,  
 12 “[i]nadmissible evidence, which can readily be misinterpreted by the jury, should not be  
 13 admitted just to put the relevant facts in their true setting. ... Moreover, they are so readily  
 14 subject to misinterpretation by a jury as to render a curative or protective instruction of dubious  
 15 value.” *U.S. v. Prescott*, 581 F.2d 1342, 1352 (9<sup>th</sup> Cir. 1978).

17 Allowing plaintiff to adduce evidence from nearly every witness regarding the above-  
 18 listed claims that were properly dismissed as a matter of law, and allowing plaintiff to argue in  
 19 opening and closing statements that this “wrong-doing” establishes defendants violated  
 20 plaintiff's constitutional right to be free from deliberately fabricated evidence, while at the same  
 21 time instructing the jury that this “wrong-doing” did not violate plaintiff's constitutional right to  
 22 due process, was equivalent to throwing a “skunk into the jury box” and instructing the jury not  
 23 to smell it. The Court's limiting instructions and occasional admonitions to plaintiff's counsel  
 24 outside the jury's presence, after overruling defendants' objections in the jury's presence, were  
 25 insufficient to eliminate the stench that was repeatedly emphasized by plaintiff. A new trial is  
 26

1 thus warranted.

2       **2. The jury was improperly instructed on causation.**

3       Defendants also move for a new trial because the Court improperly instructed the jury  
 4 that in order to find liability defendant Krause's alleged deliberate fabrication of evidence, and  
 5 defendant Davidson's alleged failure to act to stop it, had to be "so closely related to the  
 6 deprivation of plaintiff's right as to be the moving force that caused the ultimate injury." Dkt.  
 7 268, p. 24 (Instruction No. 21), Ins. 17-18; p. 25 (Instruction No. 22), Ins. 16-17. This was  
 8 error because plaintiff's deliberate fabrication of evidence claim properly required use of the  
 9 "but for" causation standard set forth in *Hervey v. Estes*, 65 F.3d 784, 788-90 (9<sup>th</sup> Cir. 1995).

10      In response, contrary to his opposition to a "but for" standard during trial, plaintiff  
 11 now argues no prejudice occurred because the "moving force" standard is more difficult to  
 12 prove than the "but for" standard. He cites *City of Oklahoma v. Tuttle*, 471 U.S. 808, 823  
 13 (1985), in support but no such analysis is discussed in that case involving a *Monell* claim.

14      The "moving force" standard is akin to the "significant or substantial motivating  
 15 factor" test used in discrimination actions. *See Mackay v. Acorn Custom Cabinetry, Inc.*, 127  
 16 Wn.2d 302, 308-11, 898P.2d 284 (1995). The *Mackay* court explained the "but for" or  
 17 "determining factor" test is more difficult to prove than the "substantial motivating factor"  
 18 test. *Id.* The court further held that using the wrong causation standard is presumed to be  
 19 prejudicial, and warrants reversal of a jury's verdict and a new trial. *Id.* at 311-12.

20      The prejudice to defendants in this case is clear from plaintiff's unpersuasive attempt  
 21 to distinguish this case from the *Hennick* case cited by defendants. Dkt. 293, p. 18-19.  
 22 Plaintiff argues that "... but for the fabricated confession in *Hennick*, the defendant would  
 23 have been in the same position. However, but for the fabricated evidence in this case, the

1 defendant would not have even been charged.” Dkt. 293, p. 19, lns. 3-5. The fallacy in this  
 2 argument is apparent because the jury was not required to specifically identify what evidence  
 3 was fabricated, or whether such fabricated evidence, if disregarded, would have made a  
 4 difference in the prosecutor’s decision to charge. The testimony elicited at trial from several  
 5 witnesses established that police perform the investigative function, then prosecutors review  
 6 the investigative materials and partly rely upon them when independently making their  
 7 charging decisions. Prosecutors Art Curtis and Jim Peters both testified there was probable  
 8 cause to charge plaintiff based solely on Katherine’s initial disclosure to Shirley Spencer,  
 9 before any police officers were involved and before any alleged fabrication occurred. Mr.  
 10 Curtis also testified his charging decision was based on other factors, including Mr. Peters’  
 11 interview of Kathryn. A “but for” or “determining factor” causation instruction was necessary  
 12 to ensure the jury would have to decide precisely what was fabricated and then evaluate  
 13 whether the other evidence standing alone was sufficient to establish probable cause to file  
 14 and continue the prosecution. With nothing more than a vague “moving force” instruction to  
 15 guide the jurors’ deliberations regarding the effect, however slight, of the evidence the jury  
 16 found to have been fabricated, a finding of causation became a foregone conclusion.

19 Prejudicial error occurred by improperly using the “moving force” language in  
 20 Instructions No. 21 and 22, and removing probable cause as a complete defense. Therefore, a  
 21 new trial should be granted.

23 **3. The jury was improperly instructed on the culpability element of the  
 24 deliberate fabrication of evidence claim.**

25 Plaintiff relies exclusively upon *Gantt v. City of Los Angeles*, 717 F.3d 702 (9<sup>th</sup> Cir.  
 26 2013), in response to defendants’ argument that it was error to include language regarding

“deliberate indifference” in the instruction setting forth the elements of the deliberate fabrication of evidence claim. Dkt. 293, p. 20. Plaintiff’s reliance upon *Gantt* is misplaced as *Gantt* supports defendants’ assertion of instructional error. The plaintiffs in *Gantt* advanced a deliberate fabrication of evidence claim at trial under *Devereaux*’s second independent basis for liability, alleging the defendant officers used investigative techniques that were so coercive and abusive they knew or should have known those techniques would yield false information. *Gantt*, 717 F.3d at 707. Addressing the required level of culpability, the court explained that due process violations under the Fourteenth Amendment occur only when official conduct shocks the conscience, but what that means depends upon the context. *Id.*

The relevant portion of the instruction at issue in *Gantt* read as follows:

Coercive and abusive investigative techniques violate a person’s 14<sup>th</sup> amendment [right] to due process when they shock the conscience, that is, the conduct of the police officer is intended to injure in some way, unjustified by any governmental interest. Torture is an example of a coercive and abusive investigative technique.

Deliberate indifference is the conscious or reckless disregard of the consequences of one’s acts or omissions. It entails something more than negligence but is satisfied by something less than acts or omissions for the very purpose of causing harm or with knowledge that harm will result.

*Gantt*, 717 F.3d at 708. The court held the giving of this instruction was reversible error, finding that it “was confusing and misleading in two related respects. First, it failed to state the intent-to-injure and deliberate-indifference standards in a clear disjunctive format, so that a reasonable juror would understand each of these satisfies the broader ‘shocks-the-conscience’ standard. Second, it included only torture as an example of what would satisfy the ‘shocks-the-conscience standard.’” *Id.* The court commented that if only the second paragraph of the instruction had been used, and if it was only used to instruct on the level of culpability, there would have been no error, but found the giving of both paragraphs together to be erroneous.

Like the erroneous two-part instruction in *Gantt*, the explanation of “deliberate indifference” at the end of Instruction No. 21 in this case was confusing and misleading because it introduced irrelevant concepts of recklessness and negligence and told the jury that defendants need not have had knowledge that harm would result, which is inconsistent with the requirement earlier in the same instruction that defendants must have deliberately fabricated evidence with actual or constructive knowledge of plaintiff’s innocence. This erroneous instruction did in fact confuse the jury as evidenced by Note No. 2 From Jury, which asked “[w]hat does Instruction 21 lines 19-22 mean Specifically (sic) lines 20-22.” Dkt. 273, p. 4. The Court’s Response to Jury Note merely defined “negligence” (over defendants’ objection that the deliberate indifference element and explanatory paragraph should simply be eliminated), which could not have eliminated the jury’s confusion about the inconsistent culpability standards.

In summary, the Court erred by improperly including the “deliberate indifference” element and explanation in Instruction No. 21. A new trial is warranted because this error confused and misled the jury and unfairly prejudiced defendants.

## II. CONCLUSION

Based upon the foregoing, and the authorities and arguments set forth in defendants’ summary judgment briefs, trial brief, briefs in support of motions *in limine*, briefs regarding the causation instruction, and brief in support of FRCP 50(a) motion, defendants respectfully request the Court to enter judgment in favor of defendants pursuant to FRCP 50(b), or in the alternative grant a new trial pursuant to FRCP 59.

///

1 RESPECTFULLY SUBMITTED this 21<sup>st</sup> day of March, 2014.

2 s/ Guy Bogdanovich  
3 GUY BOGDANOVICH, WSBA No. 14777  
4 Law, Lyman, Daniel, Kamerrer & Bogdanovich, P.S.  
P.O. Box 11880  
5 Olympia, WA 98508-1880  
Telephone: (360) 754-3480  
Fax: (360) 357-3511  
Email: [gbogdanovich@lldkb.com](mailto:gbogdanovich@lldkb.com)  
6 Attorney for defendant Sharon Krause

7 s/Jeffrey A. O. Freimund  
8 JEFFREY A. O. FREIMUND, WSBA No. 17384  
9 Freimund Jackson & Tardif, PLLC  
711 Capitol Way South, Suite 602  
Olympia, WA 98502  
10 Telephone: (360) 534-9960  
Fax: (360) 534-9959  
Email: [jeffF@fjtlaw.com](mailto:jeffF@fjtlaw.com)  
11 Attorney for defendant Michael Davidson

## **CERTIFICATE OF SERVICE**

I hereby certify that on March 21, 2014, I caused to be electronically filed Defendants' Reply in Support of Renewed Motion for Judgment as a Matter of Law and in the Alternative Motion for New Trial with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to the following:

Douglas H. Johnson, Attorney Pro Hac Vice for Plaintiff Clyde Ray Spencer  
[dhjohnson43@aol.com](mailto:dhjohnson43@aol.com)

Kathleen T. Zellner, Attorney Pro Hac Vice for Plaintiff Clyde Ray Spencer  
[kathleen.zellner@gmail.com](mailto:kathleen.zellner@gmail.com)

Daniel T. Davies, Attorney for Plaintiff Clyde Ray Spencer  
[dandavies@dwt.com](mailto:dandavies@dwt.com)

s/Kathrine Sisson  
KATHRINE SISSON  
Legal Assistant to  
JEFFREY A. O. FREIMUND, WSBA No. 17384  
Freimund Jackson & Tardif, PLLC  
711 Capitol Way South, Suite 602  
Olympia, WA 98502  
Telephone: (360) 534-9960  
Fax: (360) 534-9959  
[jeffF@ftlaw.com](mailto:jeffF@ftlaw.com)  
Attorney for defendant Michael Davidson

**DEFENDANTS' REPLY IN SUPPORT OF  
MOTIONS FOR JUDGMENT AS A MATTER OF  
LAW OR FOR NEW TRIAL**  
**3:11-cv-05424-BHS**

FREIMUND JACKSON & TARDIF, PLLC  
711 Capitol Way S., Suite 602  
Olympia, WA 98501  
Telephone: (360) 534-9960  
Facsimile: (360) 534-9959